

TRANSMITTAL FORM

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Total Number of Pages in This Submission

Application Number	09/852,958
Filing Date	May 10, 2001
First Named Inventor	David A. Sirbasku
Art Unit	1642
Examiner Name	Alana M. Harris
Total Number of Pages in This Submission	1
Attorney Docket Number	1944-00201

ENCLOSURES (check all that apply)

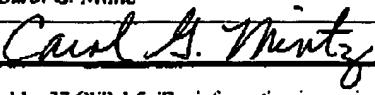
<input type="checkbox"/> Fee Transmittal Form <ul style="list-style-type: none"> <input type="checkbox"/> Fee Attached 	<input type="checkbox"/> Drawing(s) <ul style="list-style-type: none"> <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) 	<input type="checkbox"/> After Allowance Communication to Group <ul style="list-style-type: none"> <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter
<input type="checkbox"/> Amendment/Reply <ul style="list-style-type: none"> <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) 	<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Response to Missing Parts/ Incomplete Application <ul style="list-style-type: none"> <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Or Individual Name	Carol G. Mintz, PTO Reg. No. 38,561
Signature	
Date	June 18, 2004

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or Printed Name	Carol G. Mintz
Signature	
Date	June 18, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P. O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

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FAX TRANSMITTAL COVER SHEET

OFFICIAL

TO: U. S. Patent and Trademark Office
Attn: Examiner Alana M. HARRIS
Art Unit: 1642

FAX NO.: (703) 872-9306

DATE: June 18, 2004

FROM: Carol G. Mintz

Conley Rose, P.C.
7100 JPMorgan Chase Tower **TELEPHONE:** (713) 238-8000
600 Travis Street
Houston, Texas 77002-2912 **FAX:** (713) 238-8008

CLIENT NO.: 1944-00201

TOTAL NUMBER OF PAGES (INCLUDING THIS ONE) 4

COMMENTS: Re: U.S. Patent Serial No. 09/852,958
Applicant: David A. Sirbasku

The following documents are attached for filing:

- Transmittal Form (1 p.)
- Examiner Interview Summary (2 p.)

CERTIFICATE OF MAILING/TRANSMISSION (37 CFR 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being sent by facsimile transmission on the date shown below to the above facsimile number, the U.S. Patent and Trademark Office, in accordance with 37 CFR § 1.6(d).

Carol G. Mintz
(Type or print name of person faxing paper)

Date: June 18, 2004

Carol G. Mintz
(Signature of person mailing paper)

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 09/852,958 Confirmation No. 533
 Applicant : David A. Sirbasku
 Filed : May 10, 2001
 For : Compositions and Methods for Demonstrating Secretory Immune System
 Regulation of Steroid Hormone Responsive Cancer Cell Growth
 TC/A.U. : 1642
 Examiner : Alana M. Harris

OFFICIALEXAMINER INTERVIEW SUMMARY

Customer No.: 23505
 Atty. Dkt. No.: 1944-00201
 Date: June 18, 2004

Commissioner for Patents
 P. O. Box 1450
 Alexandria, VA 22313-1450

Sir:

On June 14, 2004 the undersigned representative spoke by telephone with Examiner Alana M. Harris, Ph.D. regarding non-receipt by the Office of a reply to the Restriction Requirement dated October 3, 2003. The undersigned stated that on December 3, 2003 a 24-page *Amendment and Response to Restriction Requirement of October 3, 2003*, a *Petition for Extension of Time* (1 month) and a *Fee Transmittal* were prepared, and were sent by facsimile transmission to the Office at telephone number (703) 308-4315¹, in what was believed to be a good transmission totaling 28 pages in all. The undersigned explained to the Examiner that upon inspection of the papers in the file on June 14, 2004, no fax reply from the Office could be located and it appeared that a communication error occurred during faxing. The undersigned also pointed out to the Examiner that an Information Disclosure Statement had been submitted on June 4, 2004 with the expectation that the application was in good standing. The Examiner indicated to the undersigned that since it was past the time for response, a Notice of Abandonment would be prepared and mailed right away, and that a Petition for Revival could be filed. The undersigned stated that Applicant had never intended to abandon the application and would immediately file a Petition to Revive as soon as the Notice of Abandonment was made of record.

¹ Although it was not pointed out to the Examiner at the time of the interview, please note that in the Restriction Requirement dated October 3, 2003, it was requested that Applicant use this dedicated fax machine number for response in accordance with a Fax Response Pilot for Written Restriction Requirements by Group 1640.

Appl. No. 09/852,958
Examiner Interview Summary Dated June 18, 2004
Reply to Interview Summary dated June 15, 2004

None of the claims were discussed, and no agreements were made, except for any that may be mentioned in the preceding paragraph.

Respectfully submitted,

Carol G. Mintz

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AGENT FOR APPLICANT